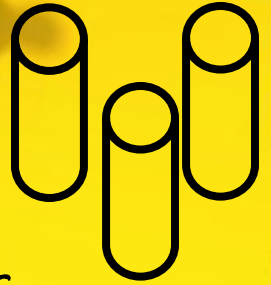


Quick Reference Guide: Effective Controls



3 pillars

1. Written Procedures
2. Trained employees
3. Effective Monitoring



Preventative Measures include:

- ✓ Physical Measures:
- Lock it up
- ✓ Segregation of Duties:
- Checks & balances



Effective Control includes:

- ✓ Detection
- ✓ Investigation



MyFedTrainer.com

Copyright © 2010-11 MyFedTrainer, LLC All Rights Reserved